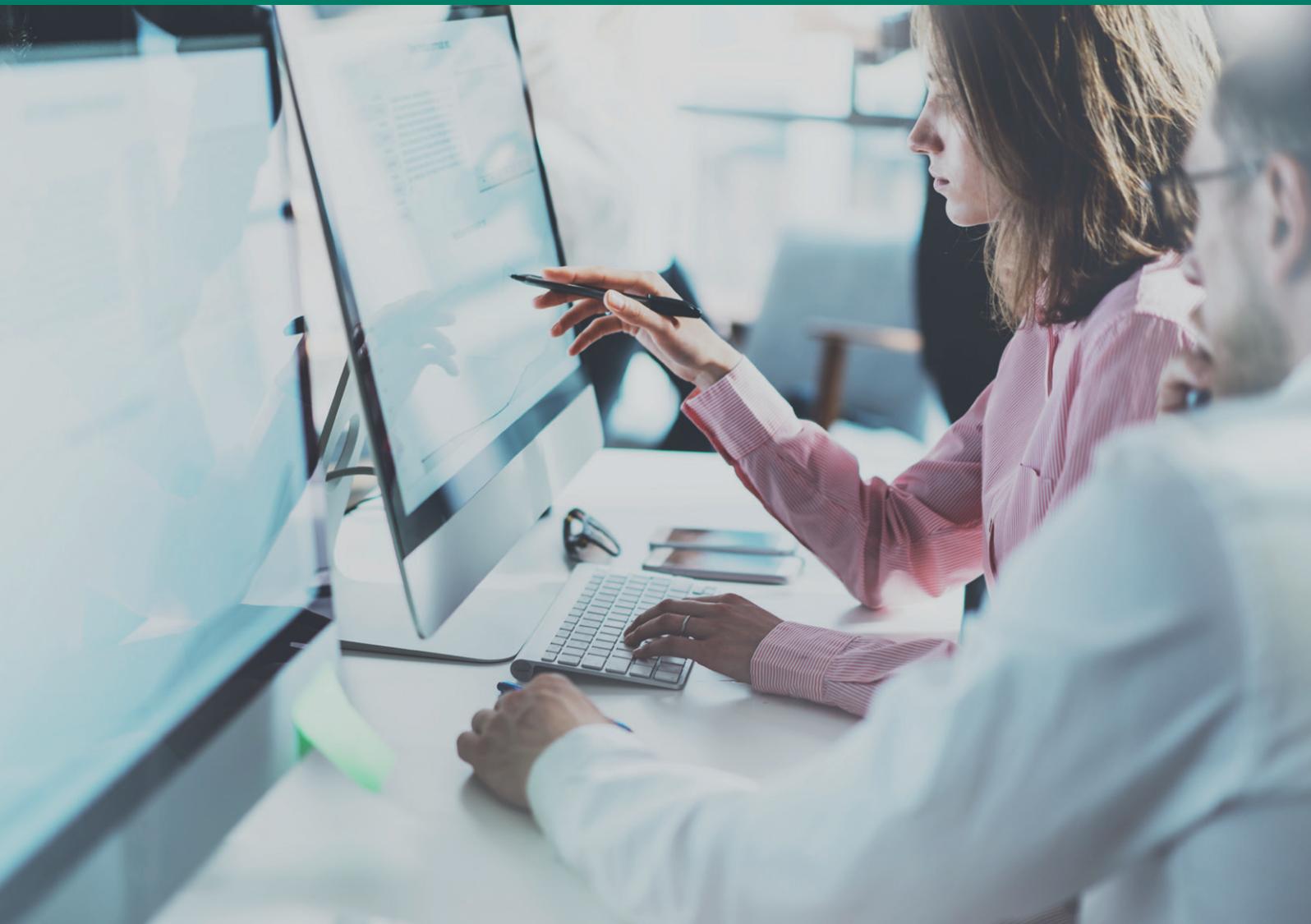




# **FIS CORPORATE ACTIONS SUITE (FORMERLY XSP)**

---

Optimizing automation and minimizing risk



# CORPORATE ACTIONS PROCESSING WITHOUT RISK AND COMPLEXITY.

Today's corporate actions process remains complex, convoluted and disjointed. Although the financial services industry has automated various aspects of post-trading activity, many firms still struggle to provide a risk-free, loss-free processing environment while managing the supporting expense base.

## How can FIS Corporate Actions Suite help?

FIS® Corporate Actions Suite (formerly XSP) automates the corporate actions process from end to end. It receives information from various sources and in multiple formats, and uses this data to create and manage corporate actions events.

Corporate Actions Suite provides a comprehensive set of workflows that help identify key data discrepancies. With a centralized, fully automated electronic diary managing each event, the solution defines entitlements for all types of recognized corporate actions. It links the decision-makers on all voluntary and optional actions, while connecting accounting entries for the entitlements.

**Corporate Actions Suite has been providing end-to-end corporate actions solutions to reduce risk since 1996.**

## Why choose Corporate Actions Suite

Corporate Actions Suite helps a diverse, global client base not only expose the risks of the manual corporate actions process, but also overcome them with straightforward, industry and client-led processes. It uses emerging technologies to deliver market-driven workflows that target, identify and manage data, position, time and election risk throughout the event life cycle.

Our cost-effective solution links a fully automated corporate actions process to trading, settlement, reconciliations, risk and collateral management platforms. And it gives you a granular view of enterprise risk, from point-of-trade execution to point-of-entitlement settlement.

## The power of Corporate Actions Suite

### Advanced, end-to-end automation

Rely on a powerful document database and robotic process automation for data management, and decrease dependency on manual intervention and processes.

### Risk reduction and control

Reduce potential financial losses by improving visibility and highlighting areas of risk before they threaten your balance sheet or reputation.

### SWIFT accreditation

Trust in a solution that has achieved SWIFT accreditation every year since 2005, longer than any competitor.

### User-friendly web access

With a modern HTML5 interface and web-based technology, see exactly how corporate actions affect your accounts and submit instructions from anywhere, at any time.

### Depository partnerships and custodian connectivity

Connect directly with other participants, as a result of our long-term partnerships with post-trade service providers – and a leading role in industry corporate actions transformation projects. Take advantage of FIS' SWIFT gateway connection options to improve your corporate actions life cycle automation.

### Connectivity

Support for more than **30 market data** vendor feeds as well as hundreds of integration points, developed with our over **110 clients**. Integration with a range of other FIS solutions is in place.

## Explore our solutions

With intelligent, secure and highly scalable software, Corporate Actions Suite automates corporate actions processing for financial institutions and their customers. Whatever the shape and size of your business, there's a solution to suit your purpose.

### ENHANCED DATA

- Timely, complete, accurate CA data
- Consulting services



### WORKFLOW MANAGEMENT

- Web-based
- Varying deployment options



### CLIENT PORTAL

- Client notifications and response management
- Mobile-friendly



## Software as a Service (SaaS)

Benefit from the strength and agility of the Corporate Actions Suite – without the burden of deploying, maintaining and operating the software. As a cloud-based, pay-as-you-go SaaS solution, FIS® Corporate Actions Manager (formerly XSP eCap & XSPrisa) is fast to implement, integrates easily with existing technology and will scale effortlessly in line with a rapidly growing business.

## Enterprise Licensed Software

Meet the requirements of larger institutions with a powerful enterprise platform, which you can operate on-premise as licensed software, or as a hosted SaaS solution in a dedicated data center. Corporate Actions Manager lends itself especially well to a center of excellence model, allowing a single team to manage corporate actions for an entire global organization.



**Corporate Actions Manager is the world's first and leading fully-functional, hosted SaaS corporate actions solution.**

### FIS Corporate Actions Election Portal

Run FIS® Corporate Actions Election Portal (formerly XSP eTran+) in tandem with Corporate Actions Manager. Whether your customers are professional portfolio managers or individual investors, they can use Corporate Actions Election Portal to review the terms of any corporate actions that may affect their holdings and make their instructions accordingly.

### FIS Corporate Actions Risk Manager

Get a clear, visual representation of corporate actions-related risk with a cloud-based real-time dashboard. Designed for back-office operations managers and professional money managers alike, FIS® Corporate Actions Risk Manager (formerly XSPoSure) allows you to set your organization’s risk criteria and alerts you to impending, risky events that may require a quick decision.

### FIS Corporate Actions Data Services

Let us provide a whole team to manage corporate actions on your behalf, on a full Business Process as a Service (BPaaS) basis. Underpinned by our Corporate Actions Manager solution, rely on the FIS® Corporate Actions Data Services (formerly XSPertise) to produce a “silver copy” data feed of corporate actions, sort through the disparate bidder announcements – and generate tailored reports on the actions that will affect your holdings.

### Managed services

We can host, monitor and maintain all our solutions as a managed service. So, while we look after the technology, you reduce your capital expenditure – and get more time to focus on your business.

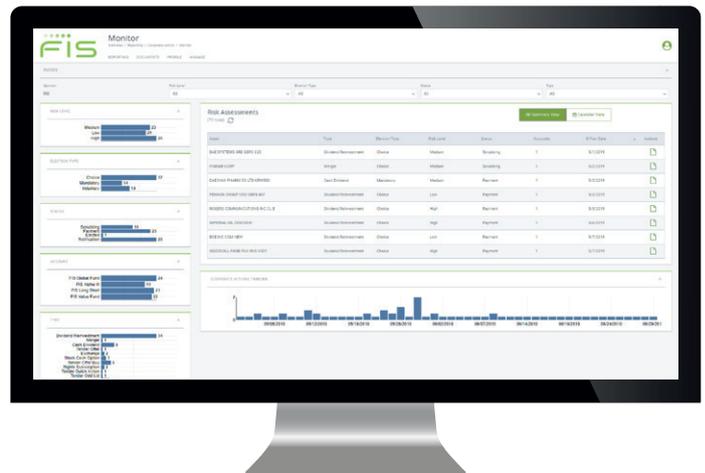
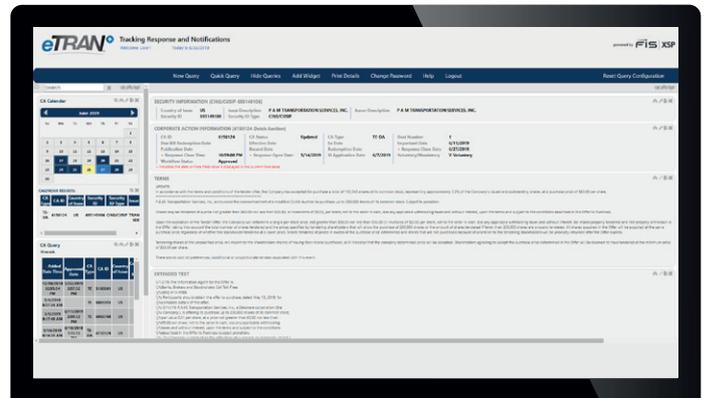
**FIS Corporate Actions solutions have achieved SWIFT accreditation every year since 2005.**

### Solution Implementation

Working with our clients, the professional service organization will implement your chosen FIS Corporate Actions solution within an applied operational environment. Managed by seasoned market practitioners, the team will complement any client implementation with advisory services to ensure optimal results are realized.

### Client Support and Relationship Management

We recognize the importance of a strong client partnership. FIS prides itself with a continuous dialogue with our clients, ensuring an optimal and well-managed relationship.



Corporate Actions

2020

## About FIS

FIS is a leading provider of technology solutions for merchants, banks and capital markets firms globally. Our more than 55,000 people are dedicated to advancing the way the world pays, banks and invests by applying our scale, deep expertise and data-driven insights. We help our clients use technology in innovative ways to solve business-critical challenges and deliver superior experiences for their customers. Headquartered in Jacksonville, Florida, FIS is a Fortune 500® company and is a member of Standard & Poor's 500® Index.

 [www.fisglobal.com](http://www.fisglobal.com)

 [getinfo@fisglobal.com](mailto:getinfo@fisglobal.com)

 [twitter.com/fisglobal](https://twitter.com/fisglobal)

 [linkedin.com/company/fis](https://linkedin.com/company/fis)

©2020 FIS

FIS and the FIS logo are trademarks or registered trademarks of FIS or its subsidiaries in the U.S. and/or other countries. Other parties' marks are the property of their respective owners. 1107246



**ADVANCING THE WAY THE WORLD  
PAYS, BANKS AND INVESTS™**